

# Noise estimation using an artificial neural network in the urban area of Jaen, Cajamarca

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## ABSTRACT

Jaen is a city in constant urban growth which generates an increase in vehicular traffic and active noise pollution. The research presents the development of an artificial neural network (ANN) to estimate the noise produced by vehicular traffic in the urban area of the city. Consequently, information was collected from two investigations coded as T1 and T2, for which a matrix of 10 variables was elaborated with 210 and 273 data respectively. Random random sampling was performed to divide the data matrix into 80% (training) and 20% (validation). Weka software and the multi-layer perceptron (MLP) training algorithm were used to model the ANN. An ANN for T1 with 6-19-1 architecture and an ANN for T2 with 6-15-1 architecture were obtained. The performance of the ANNs was evaluated using the correlation coefficient (R), coefficient of determination (R<sup>2</sup>) and root mean square error (RMSE). The results show that the MLP networks are able to estimate the sound pressure level with values of R=0.9927, R<sup>2</sup>=0.9854 and RMSE=0.7313 for T1, R=0.9989, R<sup>2</sup>=0.9978, and RMSE=0.1515 for T2.

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## 1. INTRODUCTION

Noise pollution generates excessive noise that causes annoyance to living organisms. This noise is considered one of the critical environmental problems because it endangers the health of the population; causing affectations such as cardiovascular diseases, hearing problems, sleep disorders and adverse social behaviour [1], [2], in addition to this, [3] found that this type of pollution influences the incidence and severity of COVID-19, because it generates high levels of cortisol, weakening the immune system. Vehicle traffic is one of the main sources of this pollution in cities [4], [5]. In general, there are two sets of factors that influence noise annoyance: i) related to the physical characteristics of the sound (type of noise, level, duration, and frequency spectrum), the time of day it occurs and the exposure and ii) related to the individual, including physiological, psychological, and social characteristics that affect the subjective perception of noise [5], [6].

Vehicles are predominantly sources of low and medium frequency noise, which has a high penetrating power and propagates with low dissipative absorption over long distances. The continuous growth of the car fleet has progressively increased the need for special attention to urban traffic noise, which not only increases in line with the growth of residential, industrial and commercial areas, but also causes adverse impact of noise emissions on people [7], [8]. Predicting the level of noise produced by urban transport is an essential aspect of mitigating environmental pollution. Therefore, it is necessary to have appropriate and specific mathematical

tools (models) that can reproduce or simulate different acoustic scenarios for use in assessing and planning urban planning activities [9], [10]. Artificial neural networks (ANNs) have recently emerged as an important area of research, not only for their general ability to process noise data, but also to learn and store it, specifically this computational model allows prediction and optimisation of traffic noise descriptors [4], [11], [12].

Studies have proposed method for assessing and predicting noise in the environment. These prediction methods are mainly classified into three groups: physical propagation models, traditional statistical methods and machine learning methods [13], [14]. Deep learning derives from the study of ANNs, which in many areas of data science have demonstrated a remarkable ability to learn complex, non-linear relationships between sets of variables [15], [16]. The ANN is inspired by the nature of real dynamic systems emulating the human brain, where neurons are layered and interconnected by mathematical functions. Each neuron receives a weighted signal from the previous layer, which is processed to learn from the examples provided by training algorithms [17]. Common neural network models include multilayer perceptron (MLP), convolutional neural networks (CNN), and recurrent neural networks (RNN) [18]. These algorithms iteratively update the model parameters until the error between the actual value of the output variable and the experimental one is minimised [4], [13], [19], [20].

The research proposes the use of a MLP ANN as a model for predicting urban environmental noise in the city of Jaén. It was proposed to use the MLP network type for its versatility and prediction capacity. The knowledge discovery in databases (KDD) method was used for the development of the network, as a sequence of ordered steps that allowed accurate information to be obtained. The modelling was carried out in Weka software, introducing data obtained from environmental monitoring of research carried out in the same city, with authors between 2016-2020; for training and validation we opted for the division of the data (80-20%) in order to avoid the complexity of the model and therefore an overfitting of the model (overfitting).

## 2. METHOD

Research on sound evaluation in the urban area of Jaén for the period 2016-2020 was taken into account. The databases were reviewed from institutional repositories of Peruvian universities and were subjected to evaluation considering standardisation criteria in the information considered by the authors. We opted for those data sources that consider the sound pressure level of the vehicle fleet and that consider the variables (inclusion and exclusion criteria): name of road, location coordinates of sampling points, time and date of data collection, maximum sound pressure level ( $L_{max}$ ), minimum sound pressure level ( $L_{min}$ ), number of motokar per time unit and sampling point, number of linear moto per time unit and sampling point, number of cars per time unit and sampling point, equivalent continuous sound pressure level ( $L_{AeqT}$ ). The ANNs were developed using the KDD method, in the free software Weka and with the backpropagation learning algorithm (80% of the data for training and 20% for validation).

To select independent variables influencing the equivalent continuous sound pressure level, the CorrelationAttributeEval evaluator attribute was used, prioritising input variables with significance values greater than or equal to 0.1. The correlation coefficient ( $R$ ), the coefficient of determination ( $R^2$ ) and the root mean square error (RMSE) are selected as criteria for evaluating model performance. To visualise the relationship between the actual and the protonistic sound pressure level ( $L_{AeqT}$ ), the variables used in Weka were simulated in SPSS based on linear regression. Figure 1 (in Appendix) shows the methodological flow for the development of the proposed neural network.

## 3. RESULTS AND DISCUSSION

### 3.1. Collection of noise pollution data from the urban area of Jaen

The institutional repositories of the country's universities were consulted during the period 2016-2020. Five data sources were preliminarily evaluated (Table 1), of which two met the inclusion and exclusion criteria previously detailed: i) sound pressure level by the vehicle fleet in the city of Jaén, from December 2018 to February 2019 (T1) and ii) assessment of vehicular noise pollution based on the supreme decree N°085-2003-PCM regulation of environmental quality standards for noise carried out in the province of Jaen, Department of Cajamarca, 2016 (T2). It was verified that the data collected and considered in the study were obtained by sound level meters calibrated by the National Institute of Quality (INACAL) of Peru.

### 3.2. Artificial neural network for estimating noise pollution in the urban area of Jaen

#### 3.2.1. Attribute selection for ANN, T1 and T2

The data obtained for both T1 and T2 were divided into 80% for training and 20% for validation. The CorrelationAttributeEval attribute evaluator and the ranker search method in Weka software were used with 80% of the data for both T1 and T2, obtaining the importance values for each input variable.

Tables 2 and 3 show the nine importance values for each variable evaluated for T1 and T2, however, in order to propose the number of input variables, the values of importance  $<0.1$  were chosen, reducing the input variables for the models to six.

Table 1. Sources of literature review

Name of research	Author	University
Evaluation of sound pressure levels in commercial establishments in the urban area of the city of Jaen, based on supreme decree N°085-2003-PCM	Gianela Olivera Zurita	National
Environmental Quality Standards (ECAS) for noise in the main university higher education centres in the city of Jaen	Kiara Belkiss Silva Vega	University of Jaen
Sound pressure levels in the markets of the city of Jaen, Cajamarca -2019	Felipe Nery Silva Cabrera	National
	Katiri Tatiana Estela Carranza	University of Jaen
	Jefferson Jair Goicochea Pérez	National
Evaluation of vehicular noise pollution based on the Supreme Decree N°085-2003-PCM Regulation of Environmental Quality Standards for Noise carried out in the province of Jaén, department of Cajamarca, 2016	Cintia Karelly Cruzado	University of Jaen
Sound pressure level by the vehicle fleet in the city of Jaén, December 2018 to February 2019	Ancajima	National
	Yanira Susana Soto Medina	University of Jaen
	Elser Burga Mendoza	National
		University of Jaen

Table 2. T1, 80% attribute selection

Variable	Importance values
Lmax	0.981
Lmin	0.7142
CoordenadaUTM	0.2799
NombredelaVía	0.2799
Hora	0.155
Motokar	0.1481
Carros	0.0868
MotoLineal	0.0536
Fecha	-0.0327

Table 3. T2, 80%, attribute selection

Variable	Importance values
Lmax	0.8943
Lmin	0.3823
MotoLineal	0.1882
Motokar	0.1818
CoordenadasUTM	0.1543
NombredelaVía	0.1475
Carros	0.0788
Hora	0.0655
Fecha	0.051

### 3.2.2. Training of ANNs

For T1, a 6-19-1 architecture ANN was obtained; six (6) input neurons, a hidden layer with nineteen (19) nodes and an output layer with the dependent variable LAeqT, Figure 2(a); on the contrary, for T2, a 6-15-1 architecture ANN was obtained; six (6) input neurons, a hidden layer with fifteen (15) nodes and an output layer with the dependent variable LAeqT, Figure 2(b). The fit statistics used for the training set of ANN-T1 and ANN-T2 were R,  $R^2$  and RMSE (Table 4); acceptable values were obtained for both models. For T1 and T2 the R and  $R^2$  presented values close to unity showing a good performance of the model; unlike the values obtained for the RMSE, which showed values close to unity and therefore a higher error rate, this due to the rate of learning in the training process (high learning rates will make the training converge faster, but the fit of the trainable parameters will be less accurate and will result in higher error rates) [21]. The results of the training of the networks are shown in Figures 3 and 4, where the results of the dispersion of predicted LAeqt values and actual LAeqt are graphically represented. The relationship between the observed LAeqt and the estimated LAeqt, for model T1, presents a line of fit of equation  $y=2.48+1.01 x$ , with coefficient of determination  $R^2=0.98$ , on the contrary, model T2 presents a line of fit of equation  $y=13.05+0.83 x$ , with  $R^2=0.92$ .

### 3.3. Validation of the artificial neural network

For the validation of the ANNs, the same adjustment statistics used in the training stage were used, as shown in Table 5. However, in this case 20% of the data from each investigation was used. For both models'

good values of R and R<sup>2</sup> were obtained due to their closeness to unity; differing in the RMSE, as T2 presented a more positive value compared to T1, but for both models' acceptable values were obtained.

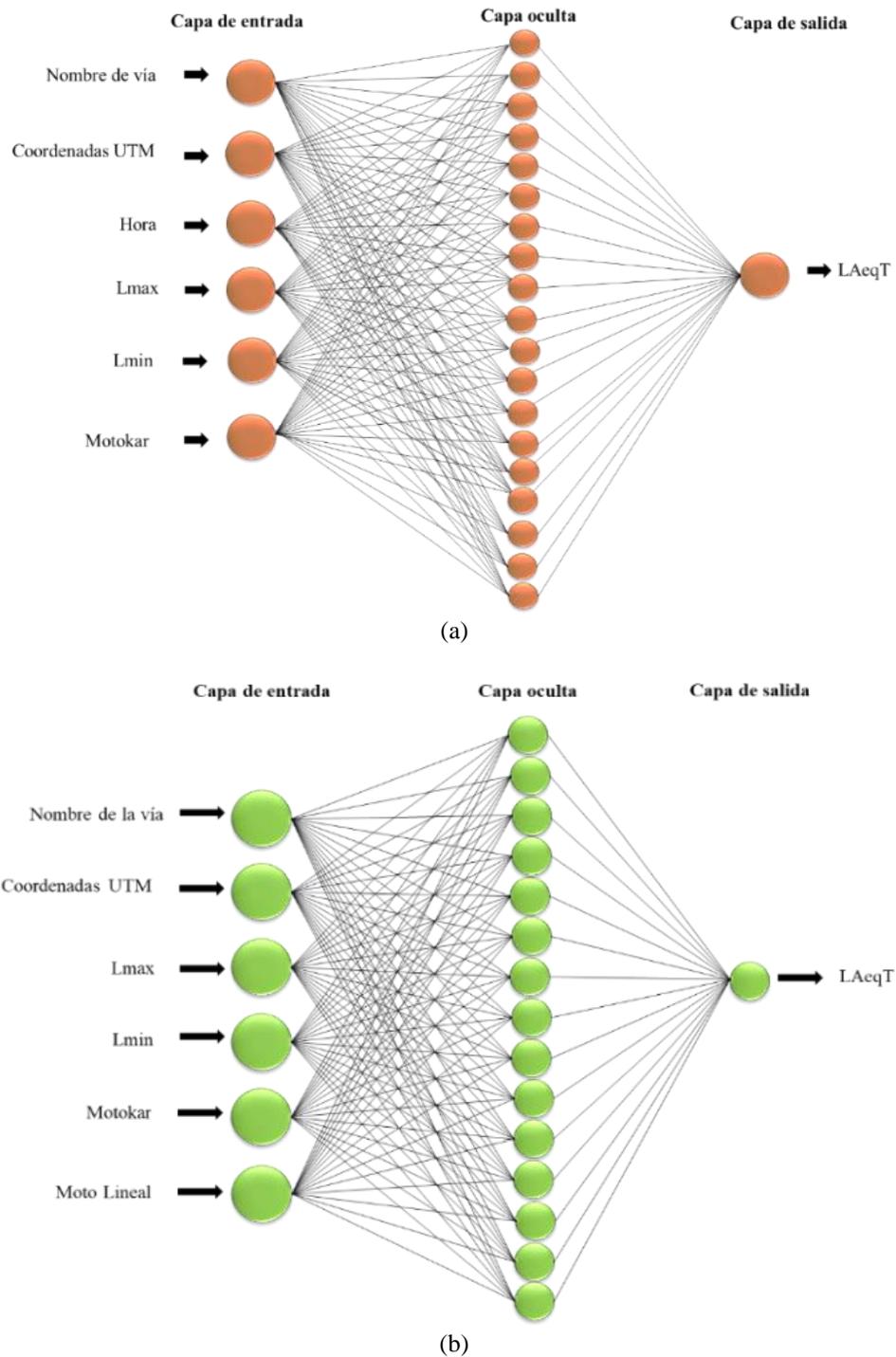


Figure 2. Validation of the ANNs (a) architecture of T1-TNA and (b) architecture of T2-TNNA

Table 4. Values of statistics used in RNA-T1 and RNA-T2 training

Statistic	RNA-T1	RNA-T2
R	0.9861	0.9606
R <sup>2</sup>	0.9723	0.9227
RMSE	0.7952	0.99

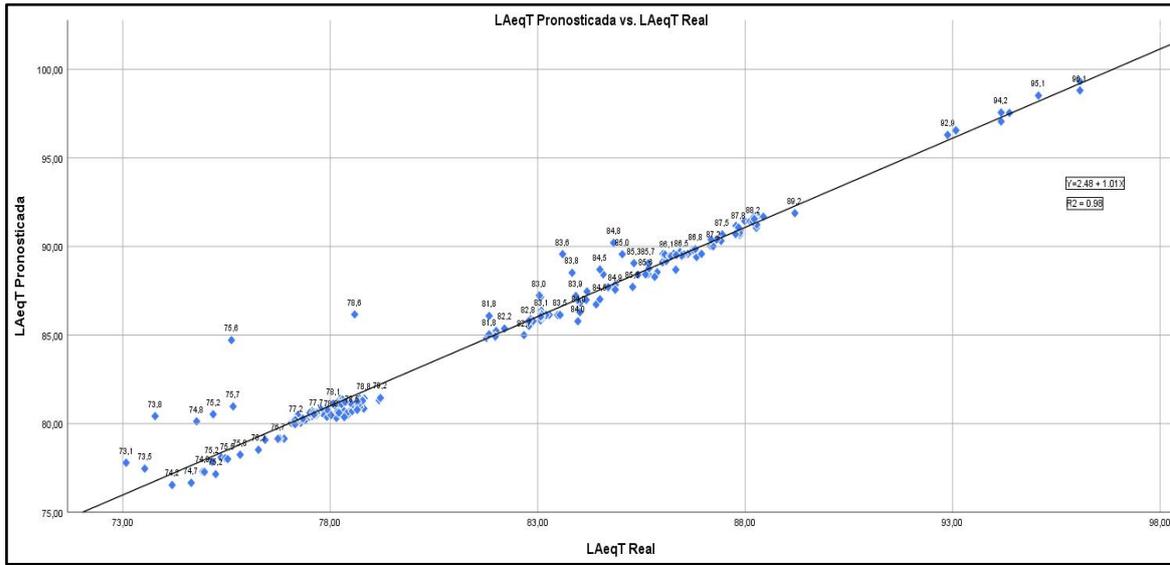


Figure 3. Predicted LAeqT vs. actual LAeqT of T1

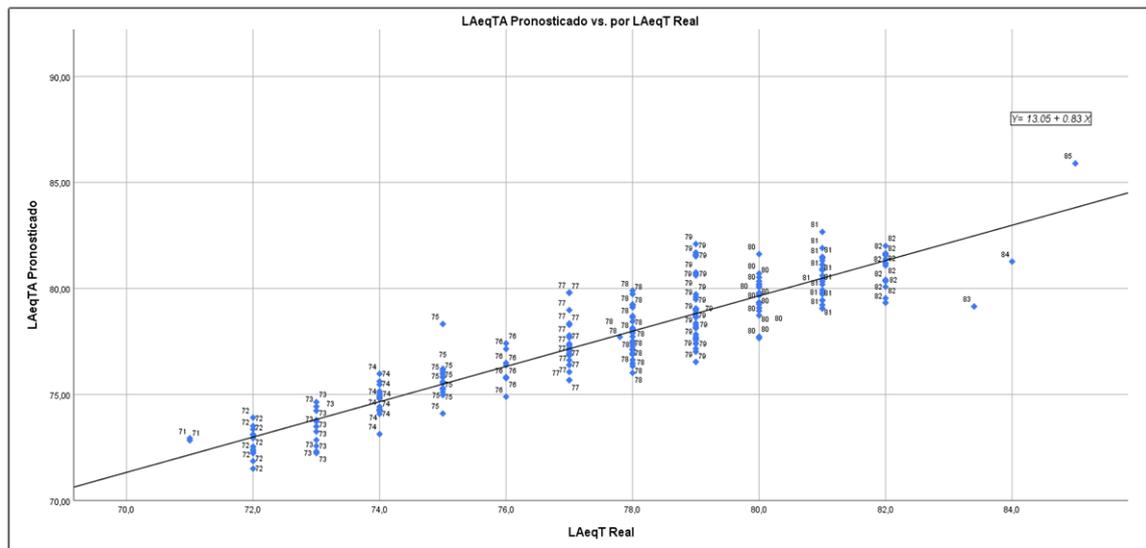


Figure 4. Predicted LAeqT vs. actual LAeqT of T2

Table 5. Values of statistics used in validation of RNA-T1 and RNA-T2

Statistic	RNA-T1	RNA-T2
R	0.9927	0.9989
R <sup>2</sup>	0.9854	0.9978
RMSE	0.7313	0.1515

The performance of the neural network was obtained through the R, R<sup>2</sup> and RMSE statistics. The networks obtained show good performance in both the training and validation stages, giving values close to unity, which demonstrates a positive relationship between the data obtained by the network and the data provided. It should be noted that the validation values were more significant; obtaining R=0.9927 and R<sup>2</sup>=0.9854, RMSE=0.7313 at T1 and R=0.9989 and R<sup>2</sup>=0.9978, RMSE=0.1515 at T2. If these results are compared with those obtained by different authors such as Mansourkhaki *et al.* [22] who obtained an R=0.992 and R<sup>2</sup>=0.983, RMSE=0.1515 at T2 and the case of Sequeira *et al.* [23] who obtained R=0.995, R<sup>2</sup>=0.991, and RMSE=0.44; it can be said that the networks obtained for both T1 and T2 show high efficiency for noise prediction. It can also be affirmed that MLP ANNs for both T1 and T2 show high efficiency for the prediction of the equivalent continuous sound pressure level (LAeq); these results are analogous to

those obtained by Genaro García where he compared the efficiency of an ANN with mathematical models (RSLs 90 and technical guidelines for noise impact assessment (Criterion)). Obtaining that the ANN has a better performance and by Chen *et al.* [24] comparing two types of neural networks, including the MLP network and the radial basis function (RBF) network for predicting traffic noise, where it was shown that the MLP network performed better than the RBF network in predicting noise level [25].

#### 4. CONCLUSION

An ANN model was developed for the estimation of the equivalent continuous sound pressure level (LAeqT) using the MLP network type and algorithm, using the variables that contributed most to the estimation of the dependent variable during the training stage. For T1-Burga Mendoza they were: name of road, UTM coordinates, time, Lmax, Lmin, and motokar, for T2-Cruzado Ancajima and Soto Medina they were: name of road, UTM coordinates, Lmax, Lmin, Motokar, and Moto linear. A structure of 6-19-1 was obtained for T1-Burga Mendoza and 6-15-1 for T2-Cruzado Ancajima and Soto Medina. The validation results of the work show that the network created for T1 is capable of estimating the sound pressure level with  $R=0.9927$  and  $R^2=0.9854$  and for T2 with  $R=0.9989$  and  $R^2=0.9978$ .

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#### APPENDIX

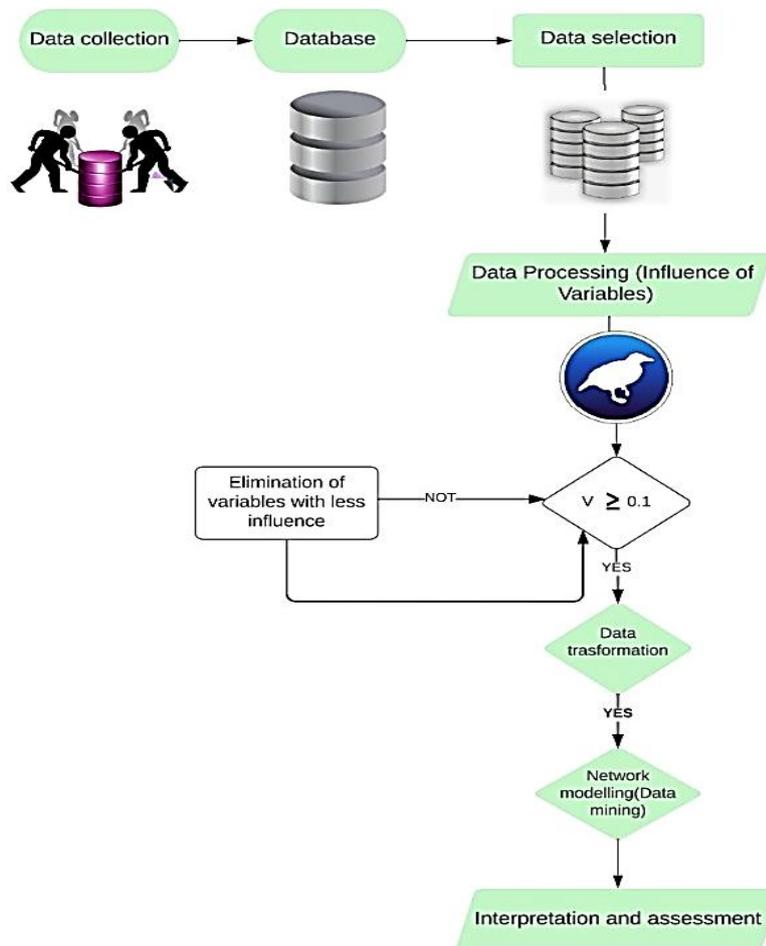


Figure 1. Method

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